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PATENT
ATTORNEY DOCKET NO.: 044574-5022-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Dario C. ALTIERI)
Application No.: 09/690,825) Group Art Unit: 1642
Filed: October 18, 2000) Examiner: not yet assigned
For: SURVIVIN, A PROTEIN THAT INHIBITS)
CELLULAR APOPTOSIS AND ITS)
MODULATION)

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

1. This replies to the Notice to File Missing parts of Application (PTO-1533) mailed January 8, 2001.

A copy of the Notice To File Missing Parts Of Application-Filing Date Granted (Form PTO-1533) is enclosed.

2. Declaration Or Oath

☐ No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney

☐ The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

[] Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document

4. Small Entity Statement

Name of Concern: _____

Address of Concern: _____

5. Fee Calculation

			Basic Fee: Patent Application-\$710.00 Design Application-\$320.00	\$710.00
	Number Filed	Number Extra	at a Rate of	
Total Claims	54 - 20 =	34	\$18.00 each=	+612.00
Independent Claims	19 - 3 =	16	\$80.00 each=	+1,280.00
Multiple dependent claim(s), if any			\$270.00	+270.00
Missing Parts Surcharge Fee			\$130.00	+130.00
SUB-TOTAL =				3,002.00
Reduction by 1/2 for filing by a small entity				- \$
SUB-TOTAL =				3,002.00
Fee For Application Filed With A Non-English Specification (37 CFR 1.17(k) and 1.52(d))			\$130.00	+
Fee For Processing and retention of application (37 CFR 1.21(l) and 1.53(d))			\$130.00	+
TOTAL FILING FEE =				\$3,002.00

6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

☐ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Total months requested</u>	<u>Fee for extension</u>	<u>[fee for Small Entity]</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$195.00
<input type="checkbox"/> three months	\$ 890.00	\$445.00
<input type="checkbox"/> four months	\$1,390.00	\$695.00
<input type="checkbox"/> five months	\$1,890.00	\$945.00

Extension of time fee due with this request: \$ 0

If an additional extension of time is required, please consider this a Petition therefor.

☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

7. Fee Payment

The total fee due is: Completion Fees \$3,002.00

☒ Enclosed is a check in the amount of \$3,002.00 for:

\$ 710.00	Filing Fee
612.00	Excess total claims
1,280.00	Excess independent claims
270.00	Multiple dependency surcharge
<u>130.00</u>	Missing Parts surcharge
\$3,002.00	TOTAL

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.



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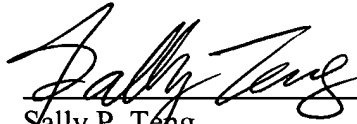
Page 4

8. Additional papers enclosed.

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP


Sally P. Teng
Reg. No. 45,397

Date: March 8, 2001

CUSTOMER NO. 009629
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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/690,825	10/18/2000	Dario C. Altieri	044574-5022-2

09629
MORGAN, LEWIS & BOCKIUS
1800 M STREET NW
WASHINGTON, DC 200365869



FORMALITIES LETTER



OC000000005662358

Date Mailed: 01/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1838.
 - \$558 for 31 total claims over 20.
 - \$1280 for 16 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2678.

*A copy of this notice **MUST** be returned with the reply.*

710.00 OP
1280.00 OP
270.00 OP
130.00 OP
612.00 OP

00000085 09690825

01/08/2001 JADD01

FC:101
FC:102
FC:104
FC:105
FC:103

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE